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## CONVECTIVE PRECIPITATION AMOUNTS DUDLEY GLEN OAKES

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#### CONVECTIVE PRECIPITATION AMOUNTS

by

Dudley Glen Oakes Lieutenant, United States Navy B.A.E., Auburn University, 1961



Submitted in partial fulfillment of the requirements for the degree of

MASTER OF SCIENCE IN METEOROLOGY

from the

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#### ABSTRACT

Areas of convective precipitation were delineated and averaged convective precipitation amounts determined within these areas. A statistical, step-wise, screening, linear regression procedure was used to correlate certain large scale parameters with the convective precipitation amounts.

Of the parameters considered in the two cases investigated, the best predictor of convective precipitation was either the terrain-induced vertical motion at the top of the boundary layer, or the product of the latter and the specific humidity at the same level.

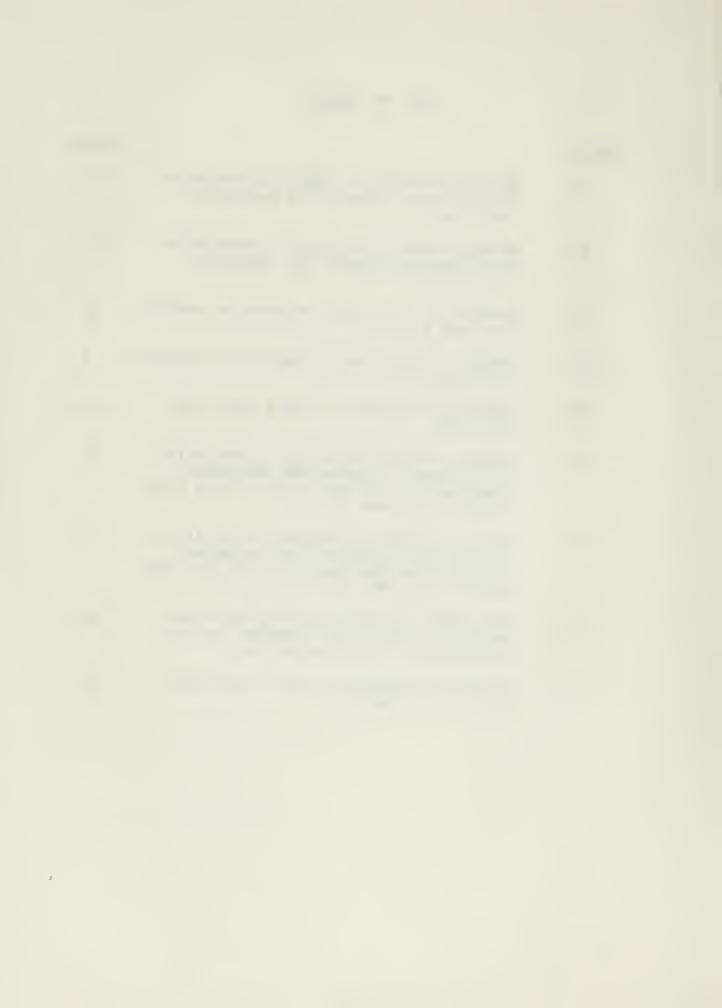
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#### 1. INTRODUCTION

The failure of numerical models to account for convective precipitation is well known (see, e.g., Danard (1966 a, 1966 b)). Such a shortcoming is expected since the equations used for numerical prediction are not applicable to sub-synoptic scale motion. Convective precipitation is highly variable in both time and space. This would prevent such precipitation from being accurately accounted for even with a much denser observational network than the one available in the United States today.

This investigation is an attempt to delineate the areas of convective precipitation by the use of semi-empirical methods. Convective precipitation will be interpreted here as the average amount associated with sub-synoptic scale systems over a square area whose side is equal to the distance between adjacent grid points used in numerical prediction (381 kilometers at 60 degrees north). The amount defined is assumed to be determined by the large-scale properties of the atmosphere.

Convective activity is confined to areas of unstable, or conditionally unstable, lapse rates. That is, the lapse rate should be steeper than the moist adiabatic lapse rate. Kuo (1965) describes a convective cloud model which will "bring surface air to all levels up to a great height so that inside the cloud the vertical distribution of temperature and mixing ratio are those of the moist

adiabat through the appropriate condensation level."

This suggests that the rate of production of cloud and total water content would depend on the specific humidity, or mixing ratio, and the vertical velocity at some lower boundary. Ooyama (1963) assumed that the rate of precipitation was proportional to the flux of water vapor through the top of the frictional boundary layer.

The total gain of water vapor in an air column, assuming no flux through the top of the column, may be represented by

$$I = \frac{1}{9} \int_{P_b}^{P_b} \nabla \cdot q V dp - (\omega_b q_b) / g , \qquad (1)$$

(e.g., Krishnamurti (1968)), where I is the water gained, q is the specific humidity, and p is pressure. The subscripts b and t indicate the bottom and top of the column, respectively. Here the first term represents the flux of water vapor through the sides of a column of unit area, and the second term represents the flux through its base, which is taken here as the top of the planetary boundary layer. Since specific humidity decreases rapidly with height, the second term is frequently larger than the first if the level  $p_h$  is sufficiently high.

#### 2. PROCEDURE

For the two case studies included here, Danard (1966b) has made numerical predictions of gridpoint precipitation within an area shown in Figures 5-8. Predicted amounts obtained from the first 12 hours of these integrations were assumed to represent precipitation effects of large-scale motions. Observed precipitation amounts were obtained by averaging all individual values reported by synoptic stations over a square centered on the grid point and having a side equal to the distance between adjacent grid points (approximately 381 kilometers). Convective precipitation amounts used are the difference between the averaged observed amounts and the amounts predicted by Danard. Only those points where the lapse rate was close to, or greater than, the pseudo-adiabatic value (see below) were used. The maximum 12-hour precipitation amount predicted by the numerical scheme in these areas was only 0.4 millimeters in either case study. Thus, the areas of convective precipitation were clearly delineated from those of large-scale precipitation.

A statistical, step-wise, linear regression procedure was used to correlate convective precipitation with the following parameters: (1) the difference between the pseudo-adiabatic and the computed lapse rates  $(\Gamma_{\!\!W}-\Gamma)$ , (2) the vertical velocity  $(\omega)$  at the top of the boundary layer, (3) specific humidity (q) at the top of the boundary

layer, (4) the product of (2) and (3), and (5) dew point depression  $(T-T_d)$  in the lower troposphere. The program used is available in the Naval Postgraduate School computer library, where it is listed as BMDO2R. A sequence of multiple linear regression equations is computed in a step-wise manner. At each step the variable is added which makes the greatest reduction in the error sum of squares.

For the two cases considered, average temperatures and dew-point temperatures were computed for the 850, 700, and 500 mb levels. The reported values at the beginning and end of the period were used to compute time averages. These averaged temperatures were used in the computations.

All averaged parameters were computed from values reported by the stations. The resulting fields were then analyzed, and the values for the grid points used were taken from the analysis.

As used here, the lapse rate is defined to be the difference between the temperatures at 850 and 500 mb. The pseudo-adiabatic lapse rate between 850 and 500 mb was taken from an Arowagram as a function of the temperature at 700 mb. Convective precipitation was considered possible where the difference between the lapse rates  $(\Gamma_{\!W}-\Gamma)$  was less than  $2.0^{\circ}\text{C/(350 mb)}$ . The areas delineated by this criterion included all regions where large amounts of convective precipitation was observed in both cases.

The dew point depression used was averaged between 850 and 700 mb. Here the 500 mb level was omitted because, in both cases many stations reported motor-boating at that level. In the cases where motor-boating was reported at the lower levels, the minimum dew point depression for which a radiosonde normally reports motor-boating was used.

Danard (1966a) expressed the vertical velocity at the top of the boundary layer as

$$\omega = \omega_t + \omega_t \qquad (2)$$

where  $\omega_{\uparrow}$  and  $\omega_{f}$  are the vertical velocity induced by orographic and eddy-stress influences, respectively. In the area considered in this study (see Figure 1) most of the terrain heights are less than 400 meters above sea level. Therefore, the surface geostrophic wind was taken to be that at 1000 mb. Thus,  $\omega_{\uparrow}$  is well approximated by

$$\omega_t = (V_q)_{1000} \cdot \nabla P_t \qquad , \qquad (3)$$

where  $p_t$  is the standard atmosphere pressure at the elevation of the smoothed terrain provided by Berkofsky and Bertoni (1955), and ( $V_g$ )<sub>1000</sub> is the geostrophic wind at 1000 mb.

The frictionally induced vertical velocity was computed by

$$\omega_f = 9/4 + (K \cdot \nabla \times C_d \vee_g \vee_g) \quad . \quad (4)$$

Here  ${\bf V}_{\rm g}$  is a representative geostrophic wind in the planetary boundary layer and  ${\bf C}_{\rm d}$  is the drag coefficient (Cressman (1960)). In the cases considered here ( ${\bf V}_{\rm g}$ )<sub>1000</sub> was used. However, over higher terrain, or in cases where ( ${\bf V}_{\rm g}$ )<sub>1000</sub> cannot be easily computed, ( ${\bf V}_{\rm g}$ )<sub>850</sub> might be more useful.

Specific humidity at the top of the boundary layer may be expressed as

$$q = \frac{0.622e}{p - 0.378e} , \qquad (5)$$

where e is the vapor pressure and p is the pressure in mb at the top of the boundary layer, assumed to be 850 mb here. Vapor pressures used were the saturation values (Smithsonian Institution (1951)) corresponding to the dew point temperatures at 850 mb.

#### 3. RESULTS OF CASE STUDIES

Two synoptic situations were investigated: 1200 GMT

11 February to 0000 GMT 12 February, 1965, and 1200 GMT

16 March to 0000 GMT 17 March, 1965. These intervals will

be referred to as Cases A and B, respectively. The

surface synoptic situation for the beginning and end of

the two 12 hour periods may be seen in Figures 1-4.

Both cases were characterized by deepening cyclones

moving from the southwest. These storms produced some

of the worst weather of the 1965 winter in the central

United States.

The number of grid points used in Cases A and B (i.e., the sample sizes) was 16 and 17, respectively. Tables 1 and 2 give the simple correlation coefficients between all the variables used for Cases A and B, respectively. In both cases the correlation between  $\omega$  and  $\omega$ q was very high. In Case A,  $\omega$ q had the highest simple correlation with convective precipitation (P). For Case B, the correlation between  $\omega$  and P was slightly higher than that between  $\omega$ q and P. The second highest simple correlation for Case A was between (T-T<sub>d</sub>) and P. However, there was little or no correlation between these variables in Case B.

The results of the step-wise regression analysis may be seen in Tables 3 and 4 for Cases A and B, respectively. In the step-wise regression procedure, the first variable entered is always the one with the highest simple correlation with P, unless the "deletion option" of the step-wise regression program is invoked. In Case A, after  $\omega$ q entered the regression equation,  $\omega$  was essentially redundant and did not enter the equation at all. For the same reason  $\omega$ q did not enter the equation in Case B, since  $\omega$  entered first. In both cases there was little improvement in the multiple correlation between the predicted value and P after the first variable entered the regression equation.

An attempt was made to determine if a time delay between the flux of moisture through the boundary layer and resulting precipitation could be detected. To accomplish this, the regression procedure was repeated using variables computed for the beginning, rather than for the average, of the time period. The resulting simple correlation coefficients are given in Table 6 and 7. In Case A, there was noticeable improvement in the correlation between both  $\omega$ q and P, and  $\omega$  and P. However for Case B there was little change in these correlations.

The step-wise regression program used permits the variable  $\omega$ q to enter the regression equation first for Case B if the variable  $\omega$  is deleted. In this way it is possible to obtain the variable  $\omega$ q as the primary predictor for both Cases A and B. In a similar manner,  $\omega$ 

has been selected as the primary predictor for Case A. The resulting equations, with only one variable entered, may be seen in Table 5. Due to the high simple correlation between  $\omega$  and  $\omega$ q there is little loss in explained variance for either case, when  $\omega$  or  $\omega$ q is employed as the primary predictor.

In order to improve the prediction equations and to ensure that  $P \ge 0$ , predictions were made for both cases using the equation

$$P = A_0 + A_1(\omega q), \text{ for } \omega q < -A_0/A_1$$

$$= 0, \text{ for } \omega q \ge -A_0/A_1.$$
(6)

The coefficients  $A_0$  and  $A_1$  were determined by computing the quanity

$$S^2 = \Sigma (P_{ob} - P)^2 \qquad , \tag{7}$$

where the summation extends over all the points in the sample.  $P_{ob}$  is the observed convective precipitation at a gridpoint and P is the amount given by Equation (6).  $S^2$  is simply the error sum of squares. Various values of  $A_o$  and  $A_1$  were tried until the minimum in  $S^2$  was found. This procedure was repeated using  $\omega$  as the predictor. The improved prediction equations are shown in Table 9. Comparing the last columns of Tables 5 and 9, it is seen that the above procedure results in a slight reduction in error for Case B. However, for Case A the improvement is negligible.

Predictions were made for both cases using the equation

$$P = -0.45 - 1.20(\omega q), \text{ for } \omega q < -0.375$$

$$= 0 \qquad \text{ for } \omega q z - 0.375.$$
(8)

Equation (8) was obtained by averaging the coefficients given in the first two lines of Table 9. The results may be compared with the observed convective precipitation in Figures 5-8.

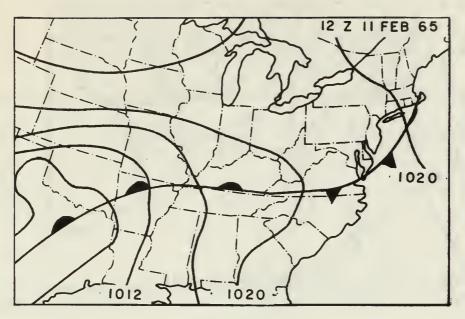


Figure 1

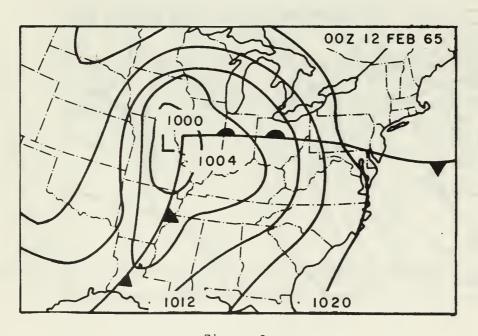


Figure 2

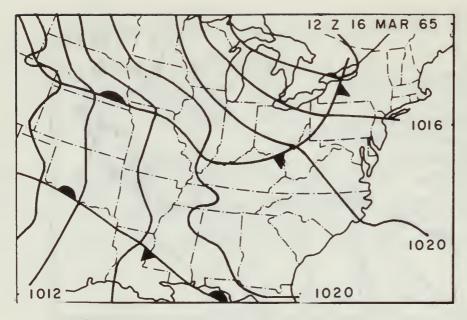


Figure 3

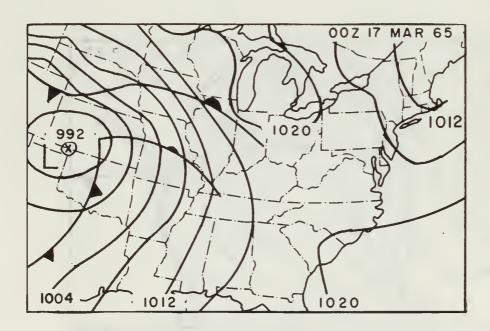


Figure 4

Matrix showing the simple correlation coefficients between the variables for Case A, P is convective precipitation.

	Р	<b>┌</b> ~-∟	ω	q	<b>ω</b> q	T-T <sub>d</sub>
P	1.00	0.28	-0.43	0.12	-0.51	-0.47
[w-[		1.00	-0.34	-0.13	-0.35	-0.44
ω			1.00	-0.10	0.95	0.55
q				1.00	-0.28	0.01
<b>ω</b> q <sub>ζ</sub>					1.00	0.53

TABLE II

Same as Table I for Case B

	Р	Ľ~ L	ω	q	<b>ω</b> q	T-T <sub>d</sub>
P	1.00	-0.25	-0.67	-0.24	-0.65	-0.08
L^- L		1.00	0.01	-0.65	-0.01	-0.34
ω			1.00	0.45	0.98	0.31
q				1.00	0.48	0.36
<b>ω</b> q				· · · · · · · · · · · · · · · · · · ·	1.00	0.28

# TABLE III

Results of step-wise regression analysis for Case A in the form of regression equations.  $p=A+\sum A_iV_i$ , where P is the precipitatioph in mm/12 hr, and  $V_i$  is the variable entered at the i step. R is the multiple correlation coefficient between P and the predictand at the i step.

					Step
	Ф	₩-7	T-Td	<b>&amp;</b> q	Variable entered
NOTE: $\omega$ q is multiplied by $10^3$	gm/kg	~ r °c/350mb	°C	$\omega$ q dynes cm <sup>-2</sup> sec <sup>-1</sup>	le Units
ltiplied	0.56	0.56	0.56	0.51	\ □
1 by 10 <sup>3</sup>	0.56 3.73	4.47	4.73	0.57	A <sub>O</sub> R
	-1.14	-1.17	-1.20	-1.66	egressio Al
	-0.39	-0.39	-0.41		Regression coefficients
	<b>-0.</b> 33	-0.30			cients <sup>A</sup> 3
	-0.33 -0.13 4.47				Α4
	4.47	4.47	4.48	4,65	Root mean square error of estimate (mm/12 hr)

TABLE IV

Same as Table III for Case B.

	4	ω	N	1	Step	
C	T-T	Δ	7	ω dy	Variable entered	
	ဝင	gm/kg	°C/350mb	ω dynes cm <sup>-2</sup> sec <sup>-1</sup>	Units	
	0.74	0.74	0.72	0.67	æ	
	0.74 0.76	1.18	-0.33	-0.08	Ao	
	-1.16	-1.12	-1.36	-1.37	Regression coo	
	-0.28	-0.30	-0.17		A <sub>2</sub>	
	-0.31 0.04	0.31	2		efficients A <sub>3</sub>	
	10.0	) <u>2</u>			A <sub>4</sub> (r	Roc
	1.10	1 10	1 18	0	of estimate (mm/12 hr)	Root mean square error

TABLE V
Regression equations using only the first variable entered.

Case	Variable entered	R	Regress coeffic		Root mean square error of estimate
A	<b>ω</b> q	0.51	0.57	-1.66	4.65
В	ωq	0.65	-0.01	-0.32	1.32
А	ω	0.43	1.07	-9.20	4.89
В	ω	0.67	-0.08	-1.37	1.28

TABLE VI

Matrix showing the simple correlation coefficients between the variables for Case A. The primed variables were computed for the beginning of the time period.

	P	ر″– ل	ω′	q'	w'q'	T'-T'd
Р	1.00	0.28	-0.65	0.04	-0.64	-0.10
L"-L		1.00	-0.24	-0.39	-0.14	-0.30
ω′			1.00	-0.40	0.99	0.36
q'				1.00	-0.42	-0.62
ω'q'					1.00	0.33

TABLE VII

Same as Table VI for Case B

	P	Ľ-Г	ω′	q <b>′</b>	<b>ω'</b> q'	T'-T'd
P	1.00	-0.25	-0.62	-0.11	-0.66	0.37
<b>□</b> -□		1.00	0.06	-0.64	0.04	-0.04
ω'			1.00	0.44	0.93	-0.32
q <b>'</b>				1.00	0.41	0.01
ω'q'					1.00	-0.26

TABLE VIII

Same as Table V for variables computed for the beginning of the time period.

Case	Variable entered	R	Regres coeffi A <sub>o</sub>		Root mean square error of estimate
A	<b>ω</b> ′q′	0.64	1.71	-2.08	4.16
В	ω' q'	0.66	0.21	-0.72	1.31
А	$\omega'$	0.65	2.22	-13.77	4.14
В	ω′	0.62	0.07	-2.59	1.36

TABLE IX

Improved regression equations using only the first variable entered.

Case	Variable entered	Regressi coeffici <sup>A</sup> o		Root mean square error of estimate
A	$\omega$ q	0.20	-1.80	4.63
В	<b>w</b> q	-1.10	-0.50	1.19
А	ω	1.00	-0.35	4.88
В	ω –	-0.80	-1.85	1.20

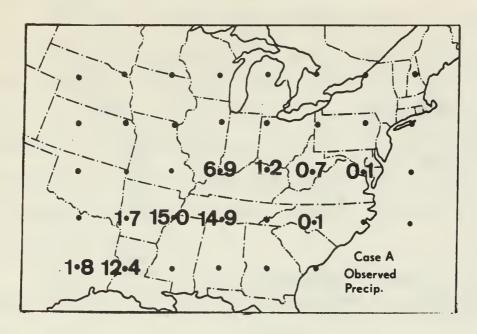


Figure 5

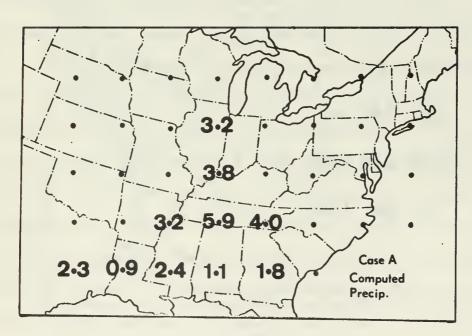


Figure 6

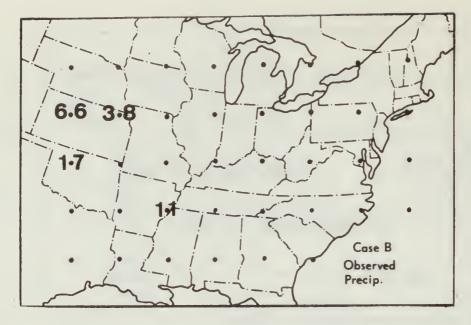


Figure 7

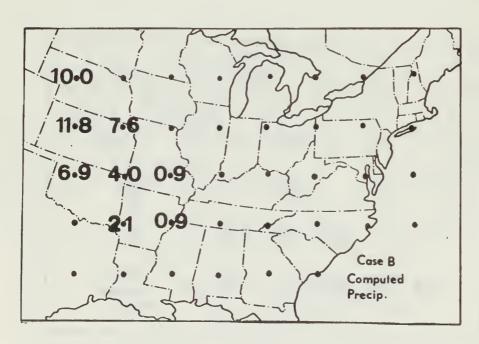


Figure 8

#### 4. CONCLUSIONS AND REMARKS

Probably the most that could be claimed for the results of this study is that it may have some applicability over the central and eastern United States for the winter months during the period 1200 to 0000 GMT. However there is indication that the product of vertical velocity and specific humidity at the top of the boundary layer can be used to predict convective precipitation.

While this study is diagnostic in nature, it uses data that can be predicted numerically. Consequently, the technique could be included in a prognostic scheme with some expectation of success. The technique of using lapse rates, as described here, to determine areas of possible convective activity could be applied to local forecast areas using forecast temperature fields.

For a synoptic situation to be used in this type of a study, there must be a clear delineation between large-scale and convective precipitation. To find out if a situation meets this requirement, a prediction of precipitation amounts due to large-scale processes must be made and compared to the reported precipitation. The time required for this procedure limited this study to the two cases considered here. Furthermore, the small size of the samples virtually precludes a statistical

proof of the significance of the results. However, the findings of this study are sufficiently encouraging to justify further work.

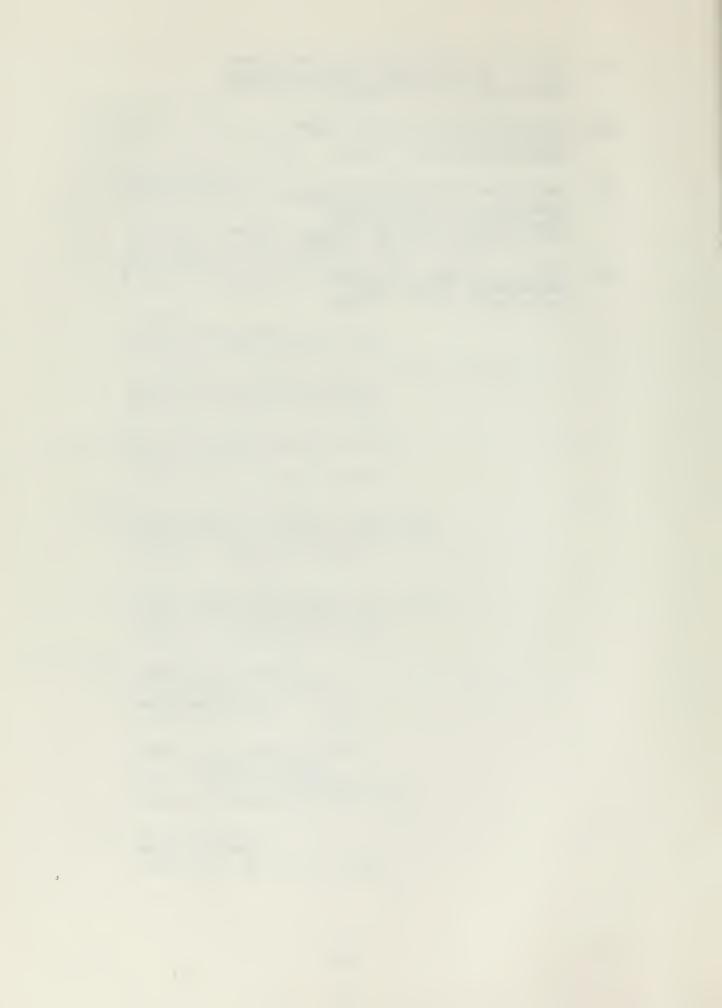
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13. ABSTRACT

Areas of convective precipitation were delineated and averaged convective precipitation amounts determined within these areas. A statistical, step-wise, screening, linear regression procedure was used to correlate certain large scale parameters with the convective precipitation amounts.

Of the parameters considered in the two cases investigated, the best predictor of convective precipitation was either the terrain-induced vertical motion at the top of the boundary layer, or the product of the latter and the specific humidity at the same level.

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